

Bone Marrow-Derived Stem Cell Transplantation for the Treatment of Insulin-Dependent Diabetes

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■ Abstract

The bone marrow is an invaluable source of adult pluripotent stem cells, as it gives rise to hematopoietic stem cells, endothelial progenitor cells, and mesenchymal cells, amongst others. The use of bone marrow-derived stem cell (BMSC) transplantation (BMT) may assist in achieving tissue repair and regeneration, and in modulating immune responses in the context of autoimmunity and transplantation. Ongoing clinical trials are evaluating the effects of BMSC to preserve functional beta-cell mass in subjects with type 1 and type 2 diabetes, and to favor engraftment and survival of trans-

planted islets. Additional trials are evaluating the impact of BMT (i.e., mesenchymal stem cells) on the progression of diabetes complications. This article reviews the progress in the field of BMSC for the treatment of subjects with insulindependent diabetes, by combining allogeneic islet transplantation with donor-specific BMSC. Clinical data is summarized from pilot studies performed at our research center over the last two decades.

Keywords: bone marrow-derived stem cell · diabetes · mesenchymal stem cell · transplant · islet transplantation · betacell replacement · chimerism · clinical trial · tolerance

Introduction

ellular therapies for the treatment of diabetes may enable the restoration of glucosesensing and -secreting machinery to attain physiologic metabolic control. Restoration of betacell function is an important therapeutic goal for the treatment of patients with insulin-dependent diabetes [1]. Pancreatic islets are highly specialized glucose sensors that finely regulate glucose metabolism in normal conditions. Functional islet mass becomes lost in an autoimmune process that selectively targets insulin-producing cells in type 1 diabetes (T1D). In type 2 diabetes (T2D), the loss is due to metabolic exhaustion. In these patients,

metabolic control throughout the day is very difficult to achieve by current medical therapy using exogenous insulin supply.

Encouraging results from clinical trials have demonstrated the ability of allogeneic islet transplants to impact positively on glycemic control in T1D patients. Transplantation benefits include a significant reduction of mean glycemic aberrances, normalization of glycosylated hemoglobin A1c (HbA1c) values, and the abrogation of severe hypoglycemia. These advances are retained even when exogenous insulin is required after transplantation of an inadequate islet mass, or after graft dysfunction [2]. The metabolic effects are paralleled by a significant improvement in the pa-

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tients' quality of life [3-8]. Also, a positive impact of islet transplantation on the progression of diabetes complications has been reported. Although, most of the studies showing reduced complications were small-scale and nonrandomized [9-16].

Abbreviations:

ALG - anti-lymphocyte globulin

ATG - anti-thymocyte globulin

AZA - azathioprine

BID - twice daily

BM - bone marrow

BMSC - bone marrow-derived stem cell

BMT - bone marrow-derived stem cell transplantation

C1H - Campath-1H

CD3 - cluster of differentiation 3 (surface glycoproteins associated with the T cell receptor to activate T cells)

CD34 - cluster of differentiation 34 (surface glycoprotein, cell-cell adhesion factor, mediates attachment of stem cells to bone marrow)

CyA - cyclosporine A

DAC - daclizumab

EPC - endothelial progenitor cell

ETC - etanercept

GAD - glutamic acid decarboxylase

G-CSF - granulocyte colony-stimulating factor

GM-CSF - granulocyte-macrophage colony-stimulating fac-

GvHD - graft-versus-host disease

HbA1c - glycosylated hemoglobin A1c

HOMA-B - homeostasis model assessment beta-cell (score

for assessment of beta-bell function)

HOMA-IR - homeostasis model assessment insulin resistance (score for assessment of insulin resistance)

HOT - hyperbaric oxygen therapy

hrG-CSF - human recombinant granulocyte colonystimulating factor

HSC - hematopoietic stem cell

IAK - islet after kidney

IEQ - islet equivalent

IFN - interferon

INF - infliximab

IRDM - insulin-requiring diabetes mellitus

ITA - islet transplantation alone

MHC - major histocompatibility complex

MMF - mycophenolate mofetil

MP - methylprednisolone

MSC - mesenchymal stem cell

mTOR - mammalian target of rapamycin (regulates cell growth, proliferation, motility, and survival)

NCT - national clinical trial

NIDDK - National Institute of Diabetes and Digestive and **Kidney Diseases**

OKT3 - muromonab-CD3 (trade name orthoclone OKT3; monoclonal antibody targeted against the CD3 receptor)

SIK - simultaneous islet-kidney (transplantation)

SIL - simultaneous islet-liver (transplantation)

SIR - sirolimus

TAC - tacrolimus

T1D - type 1 diabetes

T2D - type 2 diabetes

TNF - tumor necrosis factor

Current limitations of islet transplantation include the limited number of available cadaveric pancreata, which is too small for the number of potential recipients who could benefit from the treatment. Also, after islet infusion in the hepatic portal system of the recipients, a conspicuous mass of functional islets is lost due to poor engraftment. Therefore, a relatively large islet mass (usually more than one donor pancreas) is necessary to attain adequate metabolic control after transplantation. Finally, the need for life-long immunosuppression currently limits the indication of islet transplantation to adults with a brittle form of diabetes associated with recurrent severe hypoglycemia, and hypoglycemia unawareness.

The bone marrow is an invaluable source of adult, pluripotent stem cells. Among others, it gives rise to hematopoietic stem cells (HSC), endothelial progenitor cells (EPC), and mesenchymal stem cells (MSC). Bone marrow cell-derived stem cell (BMSC) transplantation (BMT) can assist in achieving tissue repair and regeneration. Also, this therapy can modulate the immune response in the context of autoimmunity and transplantation (Figure 1).

Bone marrow-derived HSC contribute to the maintenance of hematopoietic cell homeostasis. Transplantation of autologous HSC has been attempted in combination with lymphodepleting protocols, as a means to restore self-tolerance, and halt the progression of autoimmunity in T1D subjects. It is also a means of providing precursor cells for tissue repair in chronic complications of diabetes (discussed in detail below). The use of allogeneic HSC obtained from the same donor of islet cells has been attempted to enhance engraftment, and possibly induce donor-specific acceptance of transplanted tissues. The great plasticity of BMSC includes tissue repair and increases the immune modulation potential of the MSC and EPC components. These advantages offer benefits towards the development of cellular therapies for diabetic complications, and for the preservation of functional beta-cell mass.

An exponential rise in recent publications and clinical trials, reflects the growing interest within the scientific community, in cellular therapies utilizing bone marrow-derived cell products for the treatment of diabetes and its complications. Currently, more than twenty clinical trials on the use of bone BMSC for the treatment of subjects with diabetes are listed in the ClinicalTrials.gov registry (Tables 1-3). This list includes clinical aimed at treating T1D (Table 1), T2D (Table 2), and some of the complications associated with diabetes (Table

3), in the United States and around the world. This manuscript reviews some of the recent research and clinical applications of bone marrow-derived stem cells used for the treatment of insulin-dependent diabetes.

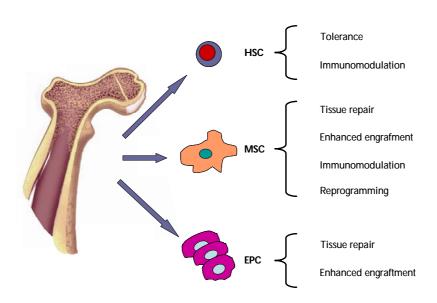


Figure 1. Schematics of the different cell subsets present in the bone marrow and their potential therapeutic application in the context of diabetes. Hematopoietic stem cells (HSC) give rise to hematopoietic lineages. Autologous HSC may be used in combination with lymphodepletion preconditioning to restore self-tolerance in the context of autoimmune diabetes. Whereas, allogeneic HSC may be used to achieve mixed chimerism in recipients of donor-specific insulin-producing cells. The high plasticity of mesenchymal stem cells (MSC) may be exploited to induce tissue repair and modulation of inflammation, leading to the recovery of functional islet cell competence, and to the improvement of diabetes complications. MSC may also contribute to the engraftment of insulin-producing cells by providing trophic factors and/or stimuli in the local microenvironment. Also, it may be possible to reprogram MSC into insulin-producing cells ex vivo from a patient's own cells or from allogeneic donors. Endothelial progenitor cells (EPC) are present in the bone marrow and may be mobilized, or obtained from aspirates, and used to improve tissue repair in islets or diabetic complications. They may also be used to enhance the engraftment of insulin-producing cells.

Bone marrow stem cell transplantation to induce hematopoietic chimerism in islet transplant recipients

Clinical and experimental data on the use of donor BMT following myelo- or lymphoablative conditioning, have shown the ability to induce hematopoietic chimerism and graft tolerance in

recipients of solid organ transplantation. This enabled the reduction of and complete weaning from immunosuppression [17-20]. Recent trials using high doses of donor CD34⁺ HSC with minimal or non-ablative recipient conditioning showed suc-

cessful engraftment, duced adverse events, immunomodulation, and increased allograft survival [17, 18, 21-26]. During the last two decades, our research center has actively explored the possibility of acceptance long-term solid organs (multi-visceral, liver, intestine, kidney, and heart) [27-34], and islet grafts, by combining BMderived HSCs in the clinical setting [2, 35].

Achieving immune tolerance in islet allograft recipients is an appealing prospect, as it may enable durable function of transplanted islets, without lifelong adverse side effects from immunosuppression. Currently, almost all classical anti-rejection drugs used in the transplant setting (including, calcineurininhibitors, mTOR inhibitors, and steroids) are toxic to islet cells [36-40]. Their continuous use can lead to loss of islet graft function; post-transplant diabetes is a common outcome, even in subjects not prone to diabetes [41]. The induction of hematopoietic chimerism has additional advantages in patients with T1D, as it can enable restoration of self-tolerance, thereby

treating the underlying autoimmunity [20].

Several clinical pilot trials using allogeneic islets with donor-specific BMT have been performed at our center over the recent years (Table 4). The BMSC inoculum consisted of non-fractionated or enriched CD34⁺ BMSC obtained from the vertebral bodies of the same islet donor. The protocols included non-myeloablative immunosuppression.

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 $\textbf{Table 1.} \ Clinical \ trials \ using \ bone \ marrow-derived \ stem \ cells \ for \ the \ treatment \ of \ T1D$

| Trial ID | Title | Location | Condition | Intervention | Study design |
|-------------|--|--|------------|--|--|
| NCT00021801 | Islet Cell Transplantation Alone and CD34'- Enriched Bone Marrow Cell Infusion in Patients With Diabetes Mellitus: Steroid-Free Regimen | University of Miami, USA; NIDDK | T1D | Islet cell transplanta- tion | Phase II Masking: open label Primary purpose: treatment |
| NCT00315133 | Safety and Efficacy Study of Autologous Stem Cell Transplantation for Early Onset T1D | University of Sao Paulo Ribeirão Preto, Brazil; Northwestern University, Chicago, USA; Genzyme Corporation | T1D | Immunosuppresssion and autologous stem cell transplantation | Phase I/II Allocation: non-randomized Control: active control Endpoint classification: safety/efficacy study Intervention model: parallel assignment Masking: open label Primary purpose: treatment |
| NCT00315614 | Islet Cell Transplantation Alone and CD34'- Enriched Donor Bone Marrow Cell Infusion in Patients With T1D; Ster- oid-Free Regimen | University of Miami, USA; NIDDK | TID | Islet transplantation | Phase II Allocation: non-randomized Control: uncontrolled Endpoint classification: safety/efficacy study Intervention model: single group assignment Masking: open label Primary purpose: treatment |
| NCT00821899 | Bone Marrow Autotrans- plantation in T1D | Hospital Clinic of Barcelona, Spain | T1D | Administration of autologous bone marrow blood | Control: uncontrolled Endpoint classification: safety/efficacy study Intervention model: single group assignment Masking: open label Primary purpose: treatment |
| NCT00971503 | Safety and Efficacy of Arterial Delivery of Autologous Bone Marrow Cells in the Treatment of Insulin-Dependent Diabe- tes | University of Moron, Argentina; Stematix, Inc - Hous- ton, Texas, USA | T1D | Autologous bone marrow implantation filgrastim Other: saline injec- tion | Phase II Allocation: randomized Control: placebo-controlled Endpoint classification: safety/efficacy study Intervention model: parallel assignment Masking: single blind (outcomes assessor) Primary purpose: treatment |
| NCT01143168 | Stem Cell Therapy for T1D | Armed Police General Hospital, Beijing, China; Cellonis Biotechnology Co. Ltd. | T1D | Autologous bone marrow mononuclear cells and umbilical cord mesenchymal stem cells | Phase I Allocation: non-randomized Control: uncontrolled Endpoint classification: safety/efficacy study Intervention model: single group assignment Masking: open label Primary purpose: treatment |
| NCT01157403 | Autologous Transplanta- tion of Mesenchymal Stem Cells for Treatment of Patients With Onset of T1D | Third Military Medi- cal University, Chongqing, Chongqing, China | TID | Autologous trans- plantation | Phase II/III Allocation: randomized Control: placebo-controlled Endpoint classification: safety/efficacy study Intervention model: parallel assignment Masking: double blind(subject, investigator) Primary purpose: treatment |
| NCT00465478 | Autologous Bone Marrow Mononuclear Cells Transplantation in Treat- ing Diabetes Patients | Shandong Univer- sity, China | T1D T2D | Autologous bone marrow mononuclear cell transplantation | Phase I/II Allocation: non-randomized Control: active control Endpoint classification: safety/efficacy study Intervention model: parallel assignment Masking: open label Primary purpose: treatment |
| NCT01102699 | Bone Marrow Progenitor Cell Mobilization in Dia- betes | University of Pa- dova, Italy | Diabetes | Drugs: filgrastim, hrG-CSF | Phase IV Allocation: non-randomized Control: uncontrolled Endpoint classification: efficacy study Intervention model: parallel assignment Masking: open label Primary purpose: diagnostic |

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Legend: Source: ClinicalTrials.gov (updated August 2010).



Table 2. Clinical trials using bone marrow-derived stem cells for the treatment of T2D

| Trial ID | Title | Location | Condition | Intervention | Study design |
|-------------|--|---|------------|--|---|
| NCT00465478 | Autologous Bone Marrow Mononuclear Cells Transplantation in Treat- ing Diabetes Patients | Shandong Univer- sity, China | T1D T2D | Autologous bone marrow mononuclear cell transplantation | Phase I/II Allocation: non-randomized Control: active control Endpoint classification: safety/efficacy study Intervention model: parallel assignment Masking: open label Primary purpose: treatment |
| NCT01102699 | Bone Marrow Progenitor Cell Mobilization in Dia- betes | University of Pa- dova, Italy | Diabetes | Drugs: filgrastim, hrG-CSF | Phase IV Allocation: non-randomized Control: uncontrolled Endpoint classification: efficacy study Intervention model: parallel assignment Masking: open label Primary purpose: diagnostic |
| NCT00644241 | Efficacy Of Autologous Bone Marrow Derived Stem Cell Transplantation In Patients With Type 2 Diabetes Mellitus (SCT) | Postgraduate Insti- tute of Medical Edu- cation and Research, Pgimer, Chandigarh, India | T2D | Stem cell harvest. Angiographic trans- plantation of stem cells | Phase II Endpoint classification: safety/efficacy study Intervention model: single group assignment Masking: open label Primary purpose: treatment |
| NCT01065298 | Efficacy Of Autologous Bone Marrow Derived Stem Cell Transplantation In Patients With Type 2 Diabetes Mellitus | Postgraduate Insti- tute of Medical Edu- cation and Research, Chandigarh, India | T2D | Stem cell transplanta- tion | Phase II/III Observational model: case control Time perspective: prospective |
| NCT00767260 | Autologous Mesenchymal Stem Cell and Bone Mar- row Stem Cell Infusion Combined With Hyper- baric Oxygen Therapy in Type 2 Diabetes Mellitus | Fuzhou General Hospital, Fuzhou, Fujian, China | T2D | MSC, BMSC, HOT BMSC, HOT BMSC HOT | Phase I/II Allocation: randomized Control: active control Endpoint classification: safety/efficacy study Intervention model: factorial assignment Masking: open label Primary purpose: treatment |
| NCT01142050 | Stem Cell Therapy for Type 2 Diabetes Mellitus | Cellonis Biotechnology Co. Ltd.; Armed Police General Hospital, Beijing, China | T2D | Mesenchymal stem cells | Phase I Control: uncontrolled Endpoint classification: safety/efficacy study Intervention model: single group assignment Masking: open label Primary purpose: treatment |

Legend: Source: ClinicalTrials.gov (updated August 2010).

Primary objectives of these trials were to induce hematopoietic chimerism and to promote acceptance of islet allografts.

The first trial (1994-1996) enrolled eight patients who received simultaneous islet-kidney (SIK, n = 7), or islet after kidney (IAK, n = 1) transplantation. The mean islet mass transplanted was $14,800 \pm 7,152$ IEQ/kg. Two patients received a combined islet preparation from 2 donors. Additionally, 10^{9} non-fractionated donorspecific BMSC per kg body weight were injected on days 5 and 11 after islet transplantation. The immunosuppression protocol consisted of an induction with either the anti-CD3 T cell antibody OKT3 (n = 5), or anti-lymphocyte globulin (ALG, n

= 3), followed by maintenance treatment with triimmunosuppression (tacrolimus (TAC), methylprednisolone (MP), and azathioprine (AZA) or mycophenolate mofetil (MMF)). Chimerism levels were monitored in peripheral blood by polymerase chain reaction and flow cytometry [42]. It showed that $7.1 \pm 1.4\%$ of donor cells at one month, and ~1% at 6 and 12 months, persisted in the recipients. Overall loss of islet graft function was observed at a mean time of 142 \pm 53 days after transplantation (Figure 2). In one patient, rejection of both kidney and islet grafts occurred after treatment with interferon (IFN)- α for acute hepatitis C virus infection. Subsequently, the patient died of complications secondary to the viral

Table 3. Clinical trials using bone marrow-derived stem cells for the treatment of diabetes complications

| Trial ID | Title | Location | Condition | Intervention | Study design |
|-------------|---|--|---|---|--|
| NCT00292357 | Local Application of Autologous Bone Marrow Cells for Treatment of Chronic Diabetic Ulcers | University of Hei- delberg, Germany | Diabetic foot; Diabetes complica- tions | Application of autologous bone marrow | Phase I Allocation: non-randomized Control: active control Intervention model: parallel assignment Masking: open label Primary purpose: treatment |
| NCT00872326 | Autologous Bone Marrow Derived Mononuclear Cells in Treating Diabetic Patients With Critical Limb Ischemia | University Hospital Virgen Macarena, Seville, Spain; Fundacion Progreso y Salud, Spain | Peripheral vascular diseases; Diabetic foot | Autologous bone marrow mononuclear cells | Phase I/II Endpoint classification: safety/efficacy study Intervention model: single group assignment Masking: open label Primary purpose: treatment |
| NCT01065337 | Induced Wound Healing by Application of Ex- panded Bone Marrow Stem Cells in Diabetic Patients With Critical Limb Ischemia | Ruhr University of Bochum; Herz- und Diabe- teszentrum NRW, Bad Oeynhausen, Germany | Diabetic foot | Tissue repair cells (TRC); Bone marrow stem cells (BMSC) | Phase II Allocation: randomized Endpoint classification: safety/efficacy study Intervention model: parallel assignment Masking: open label Primary purpose: treatment |
| NCT00987363 | Intraarterial Infusion of Autologous Marrow De- rived Mononuclear Cells in Diabetic Patients With Chronic Critical Limb Ischemia | Fundacion Progreso y Salud, Spain; Multicenter Trial (Cordoba, Granada, Murcia, Sevilla), Spain | Arterial occlusive disease; Diabetic foot | Intraarterial infusion of autologous bone marrow cells | Phase I/II Allocation: randomized Endpoint classification: safety/efficacy study Intervention model: parallel assignment Masking: open label Primary purpose: treatment |
| NCT00955669 | Autologous Transplanta- tion of Bone Marrow Mesenchymal Stem Cells on Diabetic Foot | Third Military Medi- cal University, Chongqing, Chongqing, China | Diabetic foot | Autologous trans- plantation of mesen- chymal stem cells | Phase II/III Allocation: randomized Control: placebo control Endpoint classification: safety/efficacy study Intervention model: parallel assignment Masking: double blind (subject, caregiver, investigator, outcomes assessor) Primary purpose: treatment |
| NCT00282685 | Safety and Feasibility Study of Autologous Pro- genitor Cell Transplanta- tion in Diabetic Neuropa- thy | Johann Wolfgang Goethe University Hospitals, Frankfurt, Germany | Diabetic neuro- pathies | Intraarterial bone marrow progenitor cell transplantation | Phase I Allocation: non-randomized Control: uncontrolled Endpoint classification: safety/efficacy study Intervention model: single group assignment Masking: open label Primary purpose: treatment |

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Legend: Source: ClinicalTrials.gov (updated August 2010).

infection. Also, an IAK recipient experienced a kidney rejection episode (creatinine 2.5 mg/dl), 3 months after islet transplantation (4 years after kidney transplant). The remaining patients maintained kidney function without rejection episodes during the follow-up period. Development of cytotoxic anti-donor MHC antibodies occurred in six patients, but it was not associated with loss of kidney graft function [43].

A subsequent ITA trial (year 1998) in patients with T1D (n = 5) involved intra-hepatic transplantation of allogeneic islets, combined with intravenous infusion of enriched CD34⁺ BMSC on days 5 and 11 after islet transplantation. In three patients, immunosuppression treatment consisted initially of daclizumab (biweekly for the first 5 doses and monthly for the first year). Maintenance therapy was carried out with TAC, MMF, and MP. Stable islet graft function for over one year was achieved in one patient who received 6,922 IEQ/kg. This patient had measurable C-peptide $(0.63 \pm 0.14 \text{ at one year vs. } 0.2 \text{ ng/ml pre-}$ transplantation), ~40% reduction of insulin requirements, and improved HbA1c levels (6.9 ± 0.6% post-transplantation vs. $8.1 \pm 0.6\%$ pretransplantation). Chimerism levels declined over time in this patient (7.7%, 3.6%, and 1% at 1, 6, and 12 months, respectively). By protocol design,



Table 4. Clinical trials combining allogeneic islets and donor bone marrow stem cell transplant performed at the Diabetes Research Institute, University of Miami

| Year | No. | Indication | Type of transplant | Immunosuppression | |
|---------|-----|------------|--------------------|----------------------|-----------------------------|
| | | | | Induction | Maintenance |
| 1994-96 | 1 | IRDM | IAK + BMSC | ALG | TAC, AZA or MMF, MP |
| 1994-96 | 7 | IRDM | SIK + BMSC | OKT3 | |
| 1994-97 | 5 | T1D | SIL + BMSC | None | TAC, MMF, MP |
| 1996-98 | 1 | T1D | SIK + BMSC | OKT3 | TAC, AZA or MMF, MP |
| 1998-99 | 5 | T1D | ITA + CD34+ BMSC | DAC or ATG $(n = 1)$ | TAC, MMF, MP, CYA $(n = 1)$ |
| 2000-02 | 6 | T1D | ITA + CD34+ BMSC | DAC ± INF | SIR, TAC |
| 2005-07 | 3 | T1D | ITA + CD34+ BMSC | C1H + ETC | SIR, TAC \rightarrow MMF |

Legend: ALG: anti-lymphocyte globulins. ATG: anti-thymocyte globulin. AZA: azathioprine. BMSC: bone marrow-derived stem cells. C1H: campath-1H. CYA: cyclosporin A. DAC: daclizumab (humanized monoclonal antibody to the alpha subunit of the IL-2 receptor of T cells). ETC: etanercept (TNF inhibitor, functioning as a decoy receptor that binds to TNF). INF: infliximab (monoclonal antibody against $TNF\alpha$). IAK: islet after kidney. IRDM: insulin-requiring diabetes mellitus. ITA: islet transplantation alone. MMF: mycophenolate mofetil. MP: methyl-prednisolone. SIR: sirolimus. OKT3: muromonab (murine monoclonal IgG2a antibody directed to the human CD3). SLI: simultaneous islet-liver transplantation. T1D: type 1 diabetes. TAC: tacrolimus.

this subject was weaned from immunosuppression, and subsequently lost graft function (Figure 2). Another patient in this group received 10,536 IEQ/kg, and showed good function (C-peptide: 0.72 ± 0.21 ng/ml). Due to poor tolerance to immunosuppressive drugs, the subject developed graft loss by day 45 post-transplant. A third patient showed primary non-function with no measurable Cpeptide after transplantation of 5,774 IEQ/kg. Notably, this subject displayed high basal serum TNF- α levels, which may have contributed to the failure of the islets to engraft. Two additional patients received induction with thymoglobulin (rabbit anti-human thymocyte globulin, ATG), and maintenance with cyclosporine A (CyA) and MMF. One of them showed normalization of HbA1c to 6.4%, and good islet graft function after transplantation of 10,669 IEQ/kg (C-peptide: 0.92 ± 0.26 ng/ml) for approximately 130 days. Then, loss of graft function occurred, possibly due to failure to achieve adequate trough levels of the immunosuppressive drugs. The other patient showed rapid reduction of insulin requirements and good graft function (C-peptide: 0.52 ± 0.46 ng/ml) after receiving 7,981 IEQ/kg, but developed serum sickness syndrome secondary to ATG treatment following the second BMSC infusion. This event required hospitalization. Immunosuppression was stopped, resulting in full recovery from symptoms, but loss of graft function by day 21. Microchimerism was detected in all patients in this study. No episodes of graft-versus-host disease (GvHD) were observed. All patients returned to pre-transplant insulin requirements after stopping immunosuppression.

In the year 2000, we performed an ITA trial (NCT00315614) with Edmonton style immunosuppression and donor-specific BMSC, in five patients with brittle T1D and hypoglycemia unawareness. All subjects received a single intrahepatic islet infusion on day 0, followed by two intravenous donor-specific CD34⁺ BMSC treatments on days 5 and 11, post-transplant. One patient received a single infusion of non-fractionated BMSC. Induction treatment consisted of daclizumab (DAC), and a single dose of the anti-tumor necrosis factor (TNF)-α monoclonal antibody infliximab (INF), followed by tacrolimus and sirolimus maintenance [2]. Endpoints of the study included (i) induction of hematopoietic chimerism, and (ii) acceptance of donor-specific islets after stopping immunosuppressive drugs. The latter were tapered gradually in patients with a functional islet graft at one year, as per protocol design. Measurable islet graft function was observed in all study subjects who received $8,629 \pm 2,102$ IEQ/kg. Loss of graft function was observed in two patients (at 141 and 143 days post-transplantation, respectively), possibly due to failure to achieve therapeutic trough levels of sirolimus. Four patients who received 9,029 ± 2,589 IEQ/kg sustained islet graft function for up to one year, showing maximal

mean C-peptide levels at 3 months (1.06 \pm 0.23ng/ml), and 68 \pm 26% reduction in insulin requirements from baseline. Transient insulin independence was achieved in three patients. Hematopoietic chimerism in peripheral blood was transient in these patients (4.6 \pm 0.5% at one month, 0.14 \pm 0.05% at one year). Patients with sustained graft function at one year underwent weaning from immunosuppression, as per protocol design. After immunosuppression was stopped, loss of islet graft function invariably occurred at a mean time of 95 \pm 25 days (range, 74-131 days) (Figure 2).

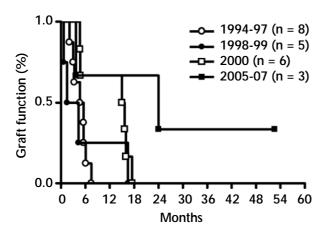


Figure 2. Cumulative graft survival of allogeneic islet grafts combined with donor-specific BMSC transplantation in single, center pilot clinical trials performed at the Diabetes Research Institute of Miami, USA. Graft function was defined as measurable C-peptide.

The most recent trial (NCT00021801) performed in the years 2005-2007, consisted of ITA in three patients with brittle T1D and donor-specific CD34⁺ BMSC transplantation on days 5 and 11 after islet transplantation. Lymphodepletion at induction was achieved with Campath-1H (C1H; 20 mg on days -1 and 0). Anti-inflammatory treatment peri-transplant was based on TNF-inhibitor etanercept (ETC) given intravenously before islet infusion (50 mg). Thereafter, it was given subcutaneously, twice weekly for 2 weeks (25 mg). TAC was given continuously from the day before ITA,

and adjusted to achieve trough levels of 4-6 ng/ml for three months. Then, patients were switched to MMF maintenance (250 mg a maximum of 1 g BID, calcineurin-sparing protocol). Sirolimus (Rapamune) was given orally from the day before ITA to achieve trough levels of 12-15 ng/ml for 4 months and 7-10 ng/ml thereafter. The mean islet mass transplanted in this small cohort of patients was 6,553 ± 1,272 IEQ/kg. All subjects displayed graft function, with measurable levels of Cpeptide, and decreased insulin requirements after transplantation. One patient achieved insulin independence that was sustained for approximately 1.7 years. Then, the patient developed graft dysfunction with complete loss of C-peptide at 2 years. The other two patients displayed sustained graft function for 0.3 and 4.3 years, respectively (Figure 2). Chimerism levels were not detectable at any assessed time point. No major adverse side effects or GvHD were observed in the study sub-

Collectively, our experience suggests that the use of BMSC with nonmyeloablative immunosuppression is feasible. It is not associated with adverse side effects or with dreadful GvHD (a frequent clinical issue in patients who receive BMT for hematological conditions). Therefore, it may be speculated that lack of harsh treatment to favor stable engraftment of the BMSC inoculum may have contributed to preventing the occurrence of GvHD. The most recent trials showed that overall better outcomes may be ascribed to the steady improvements recorded in the islet transplantation field over the years i.e., islet quality, immunosuppression, and patient management. Possible limitations in our studies include the use of conventional immunosuppression and/or the lack of myeloablation. Our protocols might have precluded adequate engraftment and/or survival of the BMSC inoculum. Indeed, it has been proposed that it is necessary to 'make space' in the recipient's marrow to allow for donor bone marrow cells to engraft [24]. In the two trials performed in 1998 and 2000, immunosuppression was weaned one year after islet transplant, by protocol design. Subsequently, all subjects lost graft function. At that time, there were no sufficiently sensitive immune monitoring methods, nor objective criteria, to guide the decision to stop, or to continue, immunosuppression in the study subjects. More recent studies are trying to identify specific markers and/or molecular signatures of a tolerogenic status in organ transplant recipients [44-48]. Such knowledge may assist the design of stringent rules for future trials.

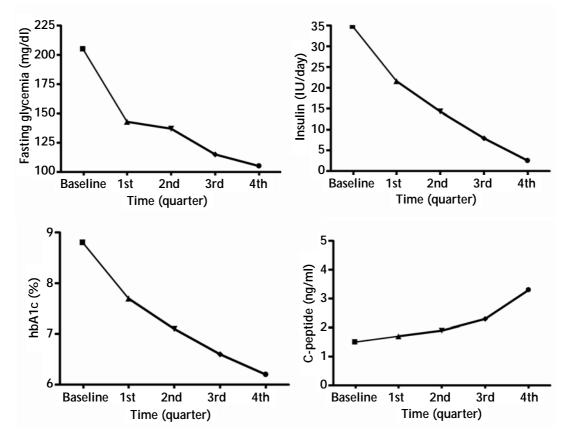


Figure 3. Metabolic control and insulin requirements in a clinical trial of autologous bone marrow stem cell transplantation and hyperbaric oxygen therapy in 25 subjects with T2D. (adapted from [59]).

Potential of bone marrow-derived stem cells to improve islet transplant outcomes

Increasing the number of BMSC in the peripheral blood of intrahepatic islet graft recipients may benefit islet engraftment. In a rodent experimental model of intrahepatic islet transplantation, mobilization of BMSC by administration of granulocyte-macrophage colony-stimulating factor (GM-CSF) resulted in enhanced vascularization and improved function [49]. This phenomenon was associated with increased peripheral blood angioblasts and higher intra-insular vascular density [49].

Tissue engineering approaches may enable manipulation of islet grafts prior to transplantation. The use of marrow-derived MSC and endothelial cells in culture, to coat pancreatic islets, has been proposed as a means to improve islet

graft neovascularization and engraftment. This strategy was tested *in vitro*. It showed that a significantly higher neovasculogenesis in a three-dimensional fibrin gel assay can be achieved, with preservation of islet functional potency [50]. Transfer of this approach to *in vivo* transplantation settings may contribute to tissue remodeling in the early post-transplant period, and enhance islet engraft and survival.

Another approach to enhance engraftment and survival of allogeneic islet cells is the use of BM-derived MSC. Several properties have been attributed to MSC, including tissue repair and immune modulation. Recently, a trial was performed in a nonhuman primate model of allogeneic islet transplantation. Donor MSC were co-transplanted intraportally with islets, and subsequently, intravenously with donor marrow on postoperative days 5 and 11. It showed that islet engraftment and function assessed at 1 month post-transplant were significantly enhanced compared with control

animals that received islets without MSC [51]. Interestingly, additional infusions of donor-specific, or third-party, MSC resulted in reversal of rejection episodes and prolonged islet function in some animals. Stable islet allograft function was associated with increased numbers of T regulatory cells in peripheral blood. These data suggest that MSC may assist in enhancing islet engraftment, and that MSC may represent a viable adjuvant antirejection therapy.

Recently, another approach of combined treatment with islets and BMSC has been proposed. It consists of islet graft implantation directly into the recipient's bone marrow [52]. This approach has proven effective in a murine model of syngeneic islet transplantation. Long-term reversal of diabetes and sustained euglycemia were achieved.

Bone marrow-derived stem cell transplantation to preserve/restore beta-cell function in type 1 diabetes

T1D is the consequence of beta-cell destruction by an autoimmune process. Restoration of self-tolerance may preserve functional beta-cell mass, and improve long-term clinical outcome in patients with T1D. In experimental models of T1D, allogeneic BMT has been shown to contribute to the prevention of islet destruction, and restoration of self-tolerance [20]. This has been achieved by induction of lymphodepletion with physical or chemical means, followed by BMT. The use of cellular therapies for the treatment of T1D is gaining momentum, as demonstrated by the increasing number of clinical trials using BMSC that have been registered at ClinicalTrials.gov in recent years (Table 1).

An increasing amount of data documents significant improvements in clinical outcomes following BMT for severe autoimmune diseases [53-55]. A recent trial of autologous BMT in recent onset T1D patients showed encouraging results, in terms of preservation of beta-cell function [56, 57]. Twenty three study subjects with new onset T1D received mobilization of BMSC by cyclophosphamide and granulocyte colony-stimulating factor (G-CSF). Mobilized BMSC were collected by leukapheresis and cryopreserved. The patients underwent a nonmyeloablative, lymphodepleting conditioning protocol consisting of rabbit antithymocyte globulin (ATG) and cyclophosphamide, followed by intravenous stem cell infusion ($\geq 3 \text{ x}$ 10⁶ CD34⁺ cells/kg body weight), and subcutaneous treatment with G-CSF. Twenty of the 23 study subjects achieved insulin independence. Twelve subjects were insulin-free for continuous periods (in one case up to 4 years), while 8 patients showed transient insulin independence. Insulin requirements were reduced in most of the subjects, who also showed increased C-peptide production during follow-up. Two subjects developed major complications, consisting of bilateral pneumonia. Several subjects developed fever, urticaria, and/or rash. Three subjects developed endocrine dysfunction (i.e., Graves disease, hypothyroidism, and transient hypogonadism, respectively).

Collectively, this trial is developing quite encouraging. It demonstrates that autoimmune diabetes can be halted. However, the need for harsh preconditioning of the recipients increases the risk of severe morbidity. Therefore, the approach raises important concerns regarding its application in young T1D patients. Also, the long-term effects of this protocol need to be ascertained before it can be considered for large-scale clinical use. Nonetheless, the information gathered from this trial may assist in developing safe immune protocols to restore self-tolerance in T1D.

Recently, a study evaluated the impact of autologous, unfractionated BM-derived mononuclear cells obtained from iliac crest aspirate after mobilization with G-CSF, and injection via superselective cannulation of a pancreatic artery in three subjects who had T1D for more than 5 years [58]. The study showed no effect in terms of C-peptide levels after treatment (both basal and stimulated). The study aimed at enrolling 10 study subjects, but was halted by the local research ethic committee for lack of efficacy [58]. Possible limitations of this trial were lack of immune interventions to favor restoration of self-tolerance, and selection of a target population of diabetics who had undetectable C-peptide levels at baseline.

Bone marrow stem cell transplant in type 2 diabetes

T2D is a metabolic disorder characterized by a combination of insulin resistance and pancreatic beta-cell dysfunction. The latter is the major defect, causing beta-cells to secrete reduced insulin amounts in response to increased glycemic values. The common denominator of beta-cell dysfunction in T1D and T2D is inflammation leading to loss of functional pancreatic endocrine mass and a need for exogenous insulin therapy. Recent data reported in the medical literature suggest that autologous BMT may assist in improving metabolic control in subjects with T2D. In recent years, several trials have been initiated to study the im-



pact of BMSC for the treatment of patients with T2D (Table 2).

A recent prospective phase 1 study of 25 patients, evaluated the impact of autologous BMT on the metabolic control of subjects with T2D [59]. The mononuclear fraction of a buffy coat, obtained from BMSC (from iliac crest aspirates) and peripheral blood, was injected via selective cannulation of the dorsal pancreatic artery, under angiographic guidance. The study subjects underwent a daily 1-h session of hyperbaric oxygen therapy (HOT, 100% at 2.3-2.5 atm), 5 days before and 5 days after BMT. Reductions in nonfasting and postprandial plasma glucose, and HbA1c levels, were observed in these subjects. This result was paralleled by an increase in C-peptide levels over the 1-year follow-up, and by a decrease in the number and dose of oral hypoglycemic drugs or insulin (Figure 3).

Another clinical trial of autologous BMT was performed in ten human subjects who were negative for glutamic acid decarboxylase (GAD) antibody [60]. The patients were treated with triple oral antidiabetic drugs and insulin (≥0.7 U/kg/day) for ≥1 year. However, the patients failed to respond to this treatment. A mean of $3.5 \pm 1.4 \times 10^8$ mononuclear cells obtained through posterior iliac spine aspirate were injected via the gastroduodenal artery by transfemoral cannulation. Seven subjects showed reduced insulin requirements (~75% of baseline) by 48 days. Three subjects achieved insulin independence for some time. Also, reduced HbA1c values were recorded in these subjects. In the three responders, this reduction was more pronounced (~7% from baseline). The improved metabolic control after BMT was paralleled by amelioration of fasting and glucagonstimulated C-peptide levels, and increased HOMA-B. Whereas, no changes in HOMA-IR were observed. Importantly, no serious adverse effects were recorded in this trial.

Overall, both trials showed encouraging results. Numerous studies have shown the beneficial effects of BMT in preventing the progression of the autoimmune process and/or restoring self-tolerance in T1D, both in clinical and experimental settings (see above). However, the underlying mechanisms are currently unknown. It has been hypothesized that BMSC transplant might hamper inflammation and preserve functional beta-cell mass. Also, it might provide precursors and/or signals to favor tissue repair and regeneration. Prospective, controlled, randomized studies on large cohorts of subjects are needed in the clinical

setting to characterize this interesting phenomenon.

Conclusions

Cellular therapies offer new opportunities for the treatment of human diseases. The research endeavor is developing rapidly in the areas of regenerative medicine and immunity. However, there are still many challenges ahead. One of which is the regulatory aspect of handling cellular products for transplantation. This regulation requires a great investment burden to implement cell processing methods within a current good manufacturing practice (cGMP) framework. Simultaneously, it is necessary to adhere to standard protocols, establish strict product release criteria, and secure dedicated infrastructures and personnel. In the case of cellular products derived by enrichment and/or by in vitro expansion, all reagents and their sources must be tested and certified for sterility, and for lack of potentially adventitious agents (i.e., endotoxin, etc.). Also, definition of potency, cellular identity, viability, and phenotypical characteristics should be part of the standard assessment performed on each cellular product before transplantation.

Monitoring of clinical trials is essential. This is achievable through institutional review boards (IRB), ethical committees, and independent clinical research organizations. Monitoring protocol implementation and appropriate stopping of trials is necessary to warrant the validity of data generated in clinical trials. Only data from clinical trials that adhere to international ethical standards for human research should be published in peerreviewed journals. Development of a transplant registry for the collection of clinical trials data may help to interpret results and to assess safety and efficacy. Also, this action may accelerate progress in the field.

Present and future trials will help to assess and optimize safety and efficacy of clinical protocols. They will help to identify the optimal cell type or combination for a specific condition, the optimal cell markers for characterization and product release criteria, and the optimal treatment for a cellular product recipient. Also, further studies will help to find out which primary endpoints enable optimal assessment of efficacy, and how the results of different pilot clinical trials performed at different centers should be compared. The development of multi-center randomized trials may be desirable, but require standardization



of cellular processing, and protocols based on the results emerging from pilot clinical studies.

The presence of pluripotent stem cells in the bone marrow makes this compartment an appealing source of cell subsets. Their application in transplantation regimens will hopefully one day be useful in curing diabetes and its complications. Currently, an increasing body of evidence points to the invaluable potential of bone marrow-derived stem cells for diabetes treatment. Furthermore, the encouraging preliminary data from clinical studies recently reported justify cautious optimism for the near future.

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